

01/20/01
56972 US PTO

01-04-00

PTO/SB/05 (4/98)

Approved for use through 09/30/2000, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. PET-01

First Inventor or Application Identifier Pettit, Stephen W.

Title IMMUNIZATION TRACKING METHOD

Express Mail Label No. EF153981912US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 15]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
4. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. * Small Entity Statement(s) Statement filed in prior application,
(PTO/SB-09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	Kenneth S. Watkins, Jr.		
Address	372 River Drive		
City	Dahlonega	State	GA
Country	US	Telephone	(706) 864-6304
		Zip Code	30533
		Fax	(706) 864-1056

Name (Print/Type)	Kenneth S. Watkins, Jr.	Registration No. (Attorney/Agent)	37466
Signature			
		Date	1/2/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 355)

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Pettit, Stephen W.
Examiner Name	
Group Art Unit	
Attorney Docket No.	PET-01

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0)

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Kenneth S. Watkins, Jr.	Registration No. (Attorney/Agent)	37466	Telephone	(706) 864-6304
Signature				Date	1/21/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
PET-01

In Re Application Of: **Stephen W. Pettit**

Serial No.

Filing Date
Herewith

Examiner

Group Art Unit

Title: **IMMUNIZATION TRACKING SYSTEM**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

16825 U.S. PTO
09/753910
02/27/01

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

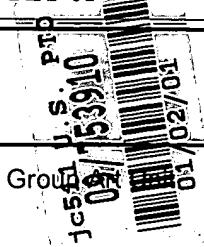
the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

PET-01

In Re Application Of: **Stephen W. Pettit**



Serial No.

Filing Date
Herewith

Examiner

Group

Title: **IMMUNIZATION TRACKING SYSTEM**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of _____
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 - Charge any additional fee required.

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Typed or Printed Name of Person Signing Certificate

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Signature of Person Mailing Correspondence

Kenneth S. Watkins, Jr.

Typed or Printed Name of Person Mailing Correspondence

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Kenneth S. Watkins
Signature

Dated: 1/2/01

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

PS-03

Serial No.

Filing Date
Herewith

Examiner

Group Art Unit

Invention: **IMMUNIZATION TRACKING SYSTEM**

JC511 U.S. PTO
09/753910
01/02/01



I hereby certify that this **Statement Claiming Small Entity Status**
(Identify type of correspondence)

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37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

12/01
(Date)

Kenneth S. Watkins, Jr.

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)**EF153981912US**

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

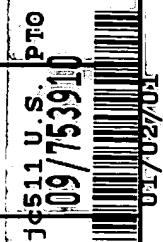
PS-03

Serial No.

Filing Date
Herewith

Examiner

Group Art Unit

Invention: **IMMUNIZATION TRACKING SYSTEM**I hereby certify that this Fee Transmittal*(Identify type of correspondence)*is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on1/21/01
*(Date)*Kenneth S. Watkins, Jr.*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EF153981912US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

PS-03

Serial No.

Filing Date
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Examiner

Group Art Unit

Invention: IMMUNIZATION TRACKING SYSTEM

I hereby certify that this Transmittal of IDS*(Identify type of correspondence)*

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*(Date)***Kenneth S. Watkins, Jr.***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EF153981912US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

PS-03

Serial No.

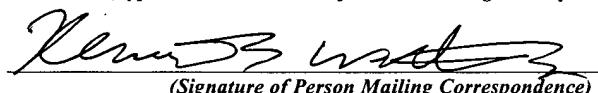
Filing Date
Herewith

Examiner

Group Art Unit

Invention: **IMMUNIZATION TRACKING SYSTEM**I hereby certify that this IDS Statement, PTO 1449*(Identify type of correspondence)*

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*(Date)*Kenneth S. Watkins, Jr.*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EF153981912US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

PS-03

Serial No.

Filing Date
Herewith

Examiner

Group Art Unit

Invention: **IMMUNIZATION TRACKING SYSTEM**I hereby certify that this Fee check, No. 2284, \$355*(Identify type of correspondence)*

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*(Date)*Kenneth S. Watkins, Jr.*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EF153981912US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Stephen W. Pettit**

Docket No.

PS-03

Serial No.

Filing Date
Herewith

Examiner

Group Art Unit

Invention: **IMMUNIZATION TRACKING SYSTEM**I hereby certify that this **Return receipt postcard***(Identify type of correspondence)*is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on12/01*(Date)***Kenneth S. Watkins, Jr.***(Typed or Printed Name of Person Mailing Correspondence)*

A handwritten signature of Kenneth S. Watkins, Jr. in black ink.

*(Signature of Person Mailing Correspondence)***EF153981912US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

PS-03

Serial No.

Filing Date
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Examiner

Group Art Unit

Invention: **IMMUNIZATION TRACKING SYSTEM**

I hereby certify that this **Specification, 15 pages including claims and abstract**
(Identify type of correspondence)

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(Date)

Kenneth S. Watkins, Jr.

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in black ink, appearing to read 'Kenneth S. Watkins, Jr.'

(Signature of Person Mailing Correspondence)

EF153981912US

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

PS-03

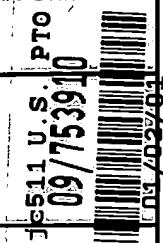
Serial No.

Filing Date
Herewith

Examiner

Group Art Unit

Invention: IMMUNIZATION TRACKING SYSTEM



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Kenneth S. Watkins, Jr.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

PS-03

Serial No.

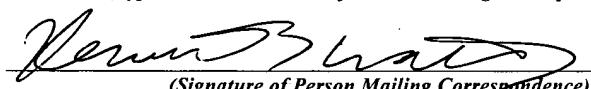
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Herewith

Examiner

Group Art Unit

Invention: **IMMUNIZATION TRACKING SYSTEM**

JC511 U.S. PTO
09/15/3910
01/02/01

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*(Date)*Kenneth S. Watkins, Jr.*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EF153981912US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Stephen W. Pettit

Docket No.

PS-03

Serial No.

Filing Date
Herewith

Examiner

Group Art Unit

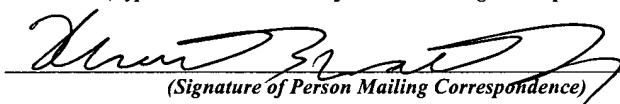
Invention: **IMMUNIZATION TRACKING SYSTEM**

I hereby certify that this Drawings, 3 sheets
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37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

1/2/01
(Date)Kenneth S. Watkins, Jr.

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EF153981912US

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